TOUR EN	•		PTO/SB/21 (04		
		Application Number	10/828,846		
ADEM PRET	RANSMITTAL	Filing Date	April 20, 2004		
	FORM	First Named Inventor	Binder, Steven R.		
(to be used for	all correspondence after initial fil	ing) Art Unit	1645		
		Examiner Name			
Total Number of	Pages in This Submission	Attorney Docket Number	02558B-063710US		
		ENCLOSURES (Check all that	apply)		
Fee Trans	smittal Form	Drawing(s)	After Allowance Communication to Technology Center (TC) Appeal Communication to Board		
	ee Attached	Licensing-related Papers	of Appeals and Interferences Appeal Communication to TC		
Amendme		Petition Petition to Convert to a	(Appeal Notice, Brief, Reply Brief)		
A	fter Final	Provisional Application	Proprietary Information		
A	ffidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Addre	ess Status Letter		
Extension	of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):		
Express A	bandonment Request	Request for Refund	Return Postcard		
<u></u>	n Disclosure Statement	CD, Number of CD(s)			
Certified C	Copy of Priority	Remarks The Commissioner is at Account 20-1430.	uthorized to charge any additional fees to Deposit		
	to Missing Parts/ e Application				
Re ur	esponse to Missing Parts ider 37 CFR 1.52 or 1.53				
	SIGNA	TURE OF APPLICANT, ATTORNI	EY, OR AGENT		
irm	Townsend and Town				
r Idividual name	Gerald T. Gray	Reg.	No. 41,797		
ignature	Minhel T. M	un/			
ate	August 16, 2004	/			
	1 ·	ERTIFICATE OF TRANSMISSION	/MAILING		
hereby certify the	at this correspondence is be		Service with sufficient postage as first class mail in a		
Typed or printed	Sylvia E. Arno	old			
Signature Sylva Carnold Date August 16, 200					

60285388 v1

Attorney Docket No.: 02558B-063710US
Client Reference No.: BRP00107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven R. Binder

Application No.: 10/828,846

Filed: April 20, 2004

For: PATTERN RECOGNITION METHOD FOR DIAGNOSIS OF

SYSTEMIC AUTOIMMUNE DISEASES

Examiner:

Art Unit: 1645

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/691,405, filed October 17, 2000 (Attorney Docket No. 02558B-063700US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Steven R. Binder

Application No.: 10/828,846

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Gerald T. Gray Reg. No. 41,797

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 925-472-5000 Fax: 925-472-8895

GTG:sea 60285311 v1

Substitute for form 1449B/RTO Complete if Known Application Number 10/828,846 INFORMATION DISC Filing Date April 20, 2004 STATEMENT BY APPLICANT First Named Inventor Binder, Steven R. Art Unit 1645 (use as many sheets as necessary) Examiner Name 02558B-063710US Sheet Attorney Docket Number

U.S. PATENT DOCUMENTS+										
	Document Number									
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	AA	US-6,024,705	02-15-2000	Schlager et al.						
	AB	US 6,031,232	02-29-2000	Cohenford et al.						
	AC	US 5,674,688	10-07-1997	Kauvar et al.						
	AD	US-								

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite		Foreign Patent Doc	ument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials* 1	No.1	Country Code ³	Number ⁴	Kind Code ⁸ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T [®]
	AE	PCT	WO 96/12187	A1	4-25-1996			
	AF							

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	AG	ASSADI, A. "A basic introduction to pattern recognition," at << http://www.lcmg.wisc.edu/bioCVG/courses/math991-f2001/courseware/patternRecog_Intro_Reading.pdf>> visited on September 24, 2002.	
	АН	BEGLEY, R.J. et al. "Adding intelligence to medical devices," Medical Device & Diagnostic Industry March 2000, pp. 150-173.	
	AI	BOYD, J.C. et al. "Effect of atypical antibiotic resistance on microorganism identification by pattern recognition," J. of Clinical Microbiology December 1978, pp. 689-694, Vol. 8, No. 6.	
	AJ	CABELLO, D. et al. "Fuzzy K-nearest neighbor classifiers for ventricular arrhythmia detection," Int. J. Biomed. Comput. 1991, pp. 77-93, Vol. 27.	
	AK	CASTELLI, V. and BRODIE, M. "EE 6880 special topics in signal processing statistical pattern recognition," at < http://www.ee.columbia.edu/academics/CastelBrodiecourse description.pdf>> visited on September 24, 2002, 1 page total.	
	AL	DeJESUS, E.X. "Tech Update-Achieving expert ease: expert systems can speed work, answer questions and offer guidance in all areas of healthcare," Healthcare Informatics January 2000, pp. 55-60.	
	АМ	ESSEX, D. "Artificial Intelligence-Long-awaitied technology eases into healthcare, may stimulate e-business," Healthcare Informatics February 2000, pp. 74-76.	
	AN	GLICKMAN, S.E. et al. Rapid identification of mycolic acid patterns of myobacteria by high-performance liquid chromatography using pattern recognition software and a mycobacterium library," J. of Clin. Microbiology March 1994, pp. 740-745, Vol. 32, No. 3.	
	AO	GRAFENSTEIN, H.V. "Phar 441 immunology: lecture 10-autoimmune diseases," Autoimmune diseases at < <htd>< Autoimmune diseases at 2, 2000, 9 pages total.</htd>	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO INFORMATION DISC STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Sheet

Complete if Known						
Application Number	10/828,846					
Filing Date	April 20, 2004					
First Named Inventor	Binder, Steven R.					
Art Unit	1645					
Examiner Name						
Attorney Docket Number	02558B-063710US					

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	AP	HUANG, H. and ANTONELLI, P. "Application of principal component analysis to high-resolution infrared measurement compression and retrieval," J. of Applied Meteorology March 2001, pp. 365-388, Vol. 40.	
	AQ	VANDIC, M. et al. "The use of knowledge-based systems to improve medical knowledge about urine analysis," Clinica Chimica Acta 2000, pp. 251-260, Vol. 297.	
	AR	MELLORS, R.C. "Immunopathology," at < http://edcenter.med.comell.edu/CUMC_PathNotes/Immunopathology/Immunopathology.html<>> visited on September 28, 2000, 42 pages total.	
	AS	MOENS, H.J.B. and Van der KORST, J.K. "Computer-assisted diagnosis of rheumatic disorders," Seminars in Arthritis and Rheumatism December 1991, pp. 156-169, Vol. 21, No. 3.	
	AT	NIAID publications "Understanding autoimmune disease-how are autoimmune diseases treated?" at < <http: autoimmune="" publications="" treated.htm="" www.niaid.nih.gov="">> visited on August 28, 2000, 2 pages total.</http:>	
	AU	NIAID publications "Understanding autoimmune disease-how are autoimmune diseases diagnosed?" at < <http: autoimmune="" diagnosed.htm="" publications="" www.niaid.nih.gov="">> visited on August 28, 2000, 1 page total.</http:>	
	AV	NIAID publications "Understanding autoimmune disease-how does the immune system work?" at < <http: autoimmune="" publications="" work.htm="" www.niaid.nih.gov="">> visited on August 28, 2000, 6 pages total.</http:>	
	AW	NIAID publications "Understanding autoimmune disease-what are autoimmune diseases?" at < <http: autoimmune="" publications="" what.htm="" www.niaid.nih.gov="">> visited on August 28, 2000, 1 page total.</http:>	
	AX	NIAID publications "Understanding autoimmune disease-what are some examples of autoimmune diseases?" at < <http: autoimmune="" examples.htm="" publications="" www.niaid.nih.gov="">> visited on August 28, 2000, 4 pages total.</http:>	
	AY	NIAID publications "Understanding autoimmune disease-what are the causes of autoimmune diseases?" at < <http: autoimmune="" causes.htm="" publications="" www.niaid.nih.gov="">> visited on August 28, 2000, 2 pages total.</http:>	
	AZ	NIAID publications "Understanding autoimmune disease-who is affected by autoimmune diseases?" at < <http: autoimmune="" publications="" who.htm="" www.niaid.nih.gov="">> visited on August 28, 2000, 1 page total.</http:>	
	ААА	Principal component analysis "Principal component analysis," at < <http: ncs99web="" node5.html.="" papers="" www.cis.hut.fi="" ~aapo="">> visited on September 24, 2002, 2 pages total.</http:>	
	ABB	SMITH, B.J. and McNEELY, M.D.D. "The influence of an expert system for test odering and interpretation on laboratory investigations," Clinical Chem. 1999, pp. 1168-1175, Vol. 45, No. 8.	
	ACC	TOBIN, K.W. et al. "Adaption of the fuzzy k-nearest neighbor classifier for manufacturing automation," at http://www-ismv.ic.ornl.gov/publications/kwt_spie98.pdf>> visited on September 24, 2002.	
	ADD	TRENDELENBURG, C. et al. "Knowledge-based test result interpretation in laboratory medicine," Clinica Chimica Acta 1998, pp. 229-242, Vol. 278.	
	AEE	Van OOYEN, A. "Theoretical aspects of pattern analysis: a simple introduction to principal component and cluster analysis,"at < http://www.anc.ed.ac.uk/~arjen/papers/cluster_abstract.html > visited on September 24, 2002, 2 pages total.	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449B/	РТО		Complete if Known		
	D TIO	N DIOC	LOCUEE	Application Number	10/828,846	
			LOSURE	Filing Date	April 20, 2004	
STAT	EMENT	BY AP	PLICANT	First Named Inventor	Binder, Steven R.	
				Art Unit	1645	
(4	use as many	sheets as n	ecessary)	Examiner Name		
Sheet	5	of	5	Attorney Docket Number	02558B-063710US	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2				
	AFF	PETROVEÿKI, M. et al. "An algorithm for leukaemia immunophenotype pattern recognition," Med. Inform. 1993, pp. 11-21, Vol. 18, No. 1.					
	AGG	THOMPSON, D. et al. "THe clinical significance of autoantibody profiles in patients with systemic lupus erythematosus," Lupus 1993, pp. 15-19, Vol. 2.					

Examiner		Date	
Signature	•	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.